

YAMUNA EXPRESSWAY INDUSTRIAL DEVELOPMENT AUTHORITY

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**BROCHURE CUM APPLICATION FORM FOR ALLOTMENT
OF
LEFTOUT INDUSTRIAL PLOTS
(Upto 4000 Sqm. & Above 4000 Sqm.)**

FOR

1. TOY PARK WITH STARTUP- (Sector- 33)
2. HANDICRAFT - ODOP PARK WITH STARTUP
INCLUDING ARTISANS (NATIONAL/
STATEAWARDEES ONLY)- (Sector-29)
3. GENERAL INDUSTRY/ MSMEs WITH STARTUP- (Sector-32 & 33)

SCHEME CODE:- YEA/IND-LOP(2021)-10

Date of Opening	:	15.12.2021
Date of Closing	:	31.12.2021
Date of draw Upto 4000 sq. mtr.	:	15.01.2022

**{ Allotment Above 4000 sq. mtr. Through Allotment Committee }
will start from 16.01.2022**

**ALLOTMENT OF INDUSTRIAL PLOTS
(For Non Polluting Units)**

Who is eligible to apply

- A. TOY PARK:-**Any Toy Manufacturing unit may apply with Project Report for allotment of plots in this park. The applicant, Firm, Company, Trust, and Society must be registered under GST. The GST return for the year 2020-21 should be enclosed with the application form as a proof of GST registration. 80% plots will be reserved for those industries which are involved in Manufacturing of Toys & 20% plots will be reserved for Startup units in this category. The startup must be registered under DPIIT.
- B. HANDICRAFT - ODOP PARK WITH STARTUP INCLUDING ARTISANS (NATIONAL / STATE AWARDEES ONLY):-** Handicraft units may apply with Project Report for allotment in this park only. Handicraft units are those units which are registered and undertaking the activities as defined by DC (Handicrafts), Govt. of India. The units which are covered in HANDICRAFT - ODOP, units may also be eligible to apply within this category. The applicant Firm, Company, Trust, Society must be registered under GST. The GST return for the year 2020-21 should be enclosed with the application form as a proof of GST registration. 80% plots will be reserved for those Handicrafts which are approved by DC (Handicrafts), Govt. of India & ODOP scheme of Govt. of U.P. 20% plots will be reserved for Startup units including artisans (National / State Awardees Only) in this category. A Certificate of registration related to Artisans (National / State Awardees Only) should also be enclosed with the application form.
- C. HANDLOOM WITH STARTUP INCLUDING ARTISANS (NATIONAL / STATE AWARDEES ONLY):-** Handloom units may apply with Project Report for allotments of plot in this park only. Handloom units are those units which are approved by DC (Textile), Govt. of India. The units which are covered in Textile manufacturing by Handloom may also be eligible to apply. The applicant Firm, Company, Trust, Society must be registered under GST. The GST return for the year 2020-21 should be enclosed with the application form as a proof of GST registration. 80% plots will be reserved for those Handloom units which are approved by DC (Handloom), Govt. of India & ODOP scheme of Govt. of U.P. 20% plots will be reserved for Startup units including artisans (National / State Awardees Only) in this category. A certificate of registration shall be enclosed with the application form.

D. GENERAL INDUSTRY/ MSMEs:- Micro, small and Medium enterprises which are defined in MSME Act 2006 by Govt. of India may apply with project report for allotment of plots in the sector 32&33. The applicant, Firm, Company, Trust, and Society must be registered under GST. The GST return for the year 2020-21 should be enclosed with the application form as a proof of GST registration. 80% plots will be reserved for those Industries which are approved by DC MSME Govt. of India 20% plots will be reserved for Startup units in this category

E. Industries applying under start up category:- Application for startup firms shall be considered separately. The other eligibility conditions for such company shall be under the guidelines issued by Govt. of India from time to time. Applicants under this category shall furnish a certificate from DPIIT regarding their consideration under startup based on their industry manufacturing norms.

Provided that any such entity formed by splitting up or reconstruction of a Business already in existence shall not be considered a 'Startup'

Table of Contents

Abbreviations:	6
Preface	6
Data Sheet	7/12
1. Section I: Instructions to the Applicants	
1.1 Definitions	12/14
1.2 How to apply	14
1.3 Language	15
1.4 Applicant's responsibility	15
1.5 Documents required with Application Form	15/16
1.6 Allotment process	16/17
1.7 Extension of time limit for deposit of Allotment Money	17
1.8 Payment schedule	17/18
1.9 Change in the name of applicant	18
1.10 Change of Project/Addition of new product	18
1.11 Unsuccessful applicants	18
2 Section II: Special conditions	
2.1 Implementation	18/19
2.2 Functional Certificate	19/20
3 Section III: General terms and conditions	
3.1 Execution of Lease Deed	20
3.2 Lease Rent	20
3.3 Location charges	20

3.4	Possession of the plot	20
3.5	Variation in actual area of allotted plot	20
3.6	Surrender	21
3.7	Change in Constitution	21/24
3.8	Construction	25
3.9	Maintenance	25
3.10	Mortgage	25/26
3.11	Transfer of Plot 26/28	
3.12	Misuse, Additions, Alterations, etc.	28
3.13	Indemnity	28
3.14	Liability to Pay Taxes	28
3.15	Overriding Power over dormant properties	28
3.16	Cancellation	28/29
3.17	Restoration	29
3.18	Amalgamation and sub-division	29
3.19	Other Clauses	29/30
3.20	Incentive for early commencement of production	30
3.21	Renting of Industrial Premises	30/31
4	Annexures	
4.1	Application form	32/34
4.2	Financial statement of turnover	35
4.3 (a)	Financial statement of Networth	36
4.3 (b)	Financial statement of GST	37
4.4	Liquidity Certificate	37
4.5	Annexure A	38
4.6	Annexure B	39
4.7	Annexure C	40/45
4.8	Annexure D	46/47
4.9	Annexure E	48

Abbreviations:

CEO	Chief Executive Officer
CIC/CIS	Change in Constitution
FAR	Floor Area Ratio
FDR	Fixed Deposit Receipt
GC	General Conditions
GPA	General Power of Attorney
LLP	Limited Liability Partnership
NGT	National Green Tribunal
NoC	No Objection Certificate
NSC	National Savings Certificate
ROC	Registrar of Companies
SARFAESI	Securitization and Reconstruction of Financial Assets and Enforcement of Security Interest
SC	Special Conditions
SPC	Special Purpose Company
YEIDA	Yamuna Expressway Industrial Development Authority

Preface

The scheme document is applicable for allotment of Leftout Industrial plots having area up to 4000 sq mtrs. and above 4000 sq. mtrs. in the different Industrial Park/Area located in Sector-29, 32, 33 of Yamuna Expressway Industrial Development Authority (herein referred to as 'YEIDA' or 'Authority' or 'Lessor').

Applications are invited for allotment of leftout plots in TOY PARK (Sector-33), HANDICRAFT-ODOP/HANDLOOM PARK (Sector-29) & GENERAL INDUSTRY/MSMEs in Sector-32, 33 to establish such Industrial units.

Data Sheet

# Head	Details
1. Date of opening of the scheme	15.12.2021
2. Date of closure of the scheme/ last date of submission of application form	31.12.2021
3. Contact person, designation and contact details (address and phone nos.) in the Authorityoffice	Dr. Smita Singh Assistant General Manager Industry Mob. No. 9582404575 Email Id- queries@yamunaexpresswayauthority.com industry@yamunaexpresswayauthority.com
4. Allotment method for the scheme	Upto 4000 sqm. through draw of plots & Above 4000 sqm. through Project Presentation.
5. Availability of scheme brochure	Downloadable from the Authority's website www.yamunaexpresswayauthority.com

<p>6. Eligible entities</p>	<p>a) Proprietorship Firm b) Registered Partnership Firm c) Registered Trust d) Registered Society e) Private Limited Company f) Public Limited Company g) Public Sector Undertaking h) Govt./ Semi Govt. undertaking/Department</p> <p>Note:</p> <ol style="list-style-type: none"> 1. Consortium of any kind is not allowed. 2. Proposed entities are not allowed. 3. Individual/Limited Liability Partnership Firm (LLP) is not allowed 4. An applicant may have only one allotment from one Firm/Company/Trust/Society/Govt/Semi Govt. undertaking/departments in any park, or sector of this scheme. 5. Industries approved by DC Textile/Handicraft/TOY Manufacturing units of any kind, Handicrafts/ Handloom approved by Govt. of India & ODOP scheme, Govt. of UP including Artisans (National/ State Awardees Only) and MSMEs as defined in MSMEs Act 2006 by Govt. of India are eligible to apply.
<p>7. Purchase cost of the scheme brochure</p>	<p>Free of cost.</p>

8. Processing Fee	Non-refundable/non-adjustable processing fee of INR 15,000/(Fifteen Thousand only) plus applicable GST (in case upto 4000 sqm.) and above 4000 sqm. Processing fee of INR 25,000/- plus applicable GST shall be deposited through online portal of YEIDA or RTGS/NEFT by generating challan from the portal.
9. Registration money for allotment of plot	Adjustable/refundable amount equal to 10 percent of total Premium of the plot for which application is being submitted. The amount shall be deposited through online portal of YEIDA or RTGS/NEFT by generating challan from the portal.
10. Allotment Money	<p>30 percent of total Premium/cost of the plot after adjusting registration money within 60 days of issuance of Allotment Letter without interest.</p> <p>Applicant would have an option to pay full and final payment of the total Premium of the plot within 60 days from the issue of Allotment Letter. In such a case, 2% rebate will be given on the total Premium of the plot.</p> <p>In case the due Allotment Money, as mentioned above, is not deposited within the stipulated period/extended period, the allotment of plot shall be cancelled, and 10% money deposited as registration amount shall be forfeited.</p>

11. Payment of instalment for the allotted plot	<p>The payment of 70% premium shall be made in 10 (ten) equal half yearly installments along with interest at a rate of 8.50% per annum. The first such installments will come due first, after six month of the date of issue of the allotment letter. It is clear that in case of default in payment as per schedule, an additional penal interest @3% compounded half yearly with applicable GST shall be payable along with 8.50% +3% =11.50%p.a. on the defaulted amount and for the defaulted period. It shall be the responsibility of the allottee to deposit the due installment on due time. If the last date of deposit is a bank holiday, then the allottee shall deposit the installment on the next working day and it shall be treated as last date of deposit.</p> <p>Note:- Interest @8.50% P.A. is applicable from 1st July 2020 subject to the revision on 1st January & 1st July of each year as per Go. No. 1567/77-4-20-36N/20 dated 09June2020</p>
12. Processing Fee for Mortgage permission	INR 5,000/- plus applicable GST.
13. Transfer charges	5% of the prevailing Premium amount of the plot along with the application of transfer of the plot plus applicable GST.
14. Period of lease	The allotment of plot will be made on leasehold basis for a period of 90 years from the date of execution of Lease Deed.

15. Location charges	In case the allotted plot is located on 30 mtr. or more wide roads (upto 4000 sqm.) & 45 mtr. or more wide roads (Above 4000 sqm.) or corner plot or plots facing the green belts/parks, the location charges shall be payable by the allottee/lessee @5% of the total premium for each preferential location subject to a maximum of 15% of the total land rate. Location charges will be payable with Lease rent and applicable GST on Lease rent before execution of the lease deed in lump sum.
16. Possession of the plot	<p>i) After execution and registration of Lease Deed by the office of Sub Registrar, Possession of allotted plot by YEIDA will be handed over to the Lessee.</p> <p>ii) Execution and registration of Lease Deed can be done only after a minimum payment of 30% of Premium and one year Lease Rent, in advance with applicable GST.</p> <p>iii) However Acquisition/Purchase of land is under process.</p> <p>iv) For the purpose of calculation of payment of Lease Rent and other statutory or scheme compliance, possession would be deemed to be handed over from the date of execution and registration of Lease Deed. In case the Lease Deed is executed within 60 days, the date of execution shall be deemed as the date of taking over possession and all above mentioned charges will be calculated from that date.</p>
17. Amalgamation or Sub division of plot	No Amalgamation or sub-division shall be allowed on the allotted plots. The Allottee shall be solely responsible for the development/construction of all proposed activities as approved by the Authority.

18. Norms of development	<p>i) Norms related to permissible FAR, Ground Coverage, setbacks and permissible height shall be as per the applicable building regulations of YEIDA at the time of allotment.</p> <p>ii) Other norms for development/ construction shall be as per the applicable Building Regulations of YEIDA at the time of allotment.</p> <p>iii) No purchasable FAR shall be allowed.</p>																
19. Permissible development activity	List of permissible industries are enclosed at Annexure-C																
20. Rate of allotment	<p>Rate of industrial allotment shall be as below:-</p> <table border="1" data-bbox="799 1003 1340 1429"> <thead> <tr> <th colspan="2" data-bbox="799 1003 1340 1081">Rate of Industrial Allotment Year – 2021-22</th> </tr> <tr> <th data-bbox="799 1081 1185 1160">Size of Plot</th> <th data-bbox="1185 1081 1340 1160">Rate (in Per Sqm)</th> </tr> </thead> <tbody> <tr> <td data-bbox="799 1160 1185 1200">Upto 4000 Sqm</td> <td data-bbox="1185 1160 1340 1200">7,010/-</td> </tr> <tr> <td data-bbox="799 1200 1185 1240">Addition to 4000 to 8000 Sqm</td> <td data-bbox="1185 1200 1340 1240">5,970/-</td> </tr> <tr> <td data-bbox="799 1240 1185 1281">Addition to 8000 to 20000 Sqm</td> <td data-bbox="1185 1240 1340 1281">5,060/-</td> </tr> <tr> <td data-bbox="799 1281 1185 1321">Addition to 20000 to 40000 Sqm</td> <td data-bbox="1185 1281 1340 1321">4,590/-</td> </tr> <tr> <td data-bbox="799 1321 1185 1361">Addition to 40000 to 80000 Sqm</td> <td data-bbox="1185 1321 1340 1361">4,430/-</td> </tr> <tr> <td data-bbox="799 1361 1185 1429">Above 80000 Sqm</td> <td data-bbox="1185 1361 1340 1429">4,260/-</td> </tr> </tbody> </table> <p>Note:- the allotment shall be made at the rate applicable on the date of allotment subject to any amendment before allotment.</p>	Rate of Industrial Allotment Year – 2021-22		Size of Plot	Rate (in Per Sqm)	Upto 4000 Sqm	7,010/-	Addition to 4000 to 8000 Sqm	5,970/-	Addition to 8000 to 20000 Sqm	5,060/-	Addition to 20000 to 40000 Sqm	4,590/-	Addition to 40000 to 80000 Sqm	4,430/-	Above 80000 Sqm	4,260/-
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Above 80000 Sqm	4,260/-																
21. Rate of annual Lease Rent	<p>I. In addition to the Premium of plot, annual Lease Rent at the rates of 2.5% of the total Premium of plot with applicable GST, would be payable in advance. The Lease Rent is payable from the date of execution of the Lease Deed or the date of possession, whichever is earlier.</p> <p>The Authority has the power to enhance</p>																

	<p>the annual Lease Rent on expiry of every 10 years from the date of execution of the Lease Deed or handing over of the possession whichever is earlier, by an amount not exceeding 50% of the annual Lease Rent payable at the time of such enhancement.</p> <p>ii. Consequences of default in payment of Lease Rent: In case of default in payment of Lease Rent, interest @ 8.50% +3% =11.50% p.a. with applicable GST shall be charged on the defaulted amount for the defaulted period compounding half-yearly.</p> <p>iii. One time Lease Rent: The Allottee/ Lessee shall have the option to pay a lump sum amount equivalent to 11 times, of the prevailing annual Lease Rent (27.5% of the total Premium of plot with applicable GST) at the time of deposit of one time Lease Rent in lump sum. The Lease Rent policy, as amended from time to time, shall be binding on the Allottee/Lessee.</p> <p>Note:- If the allottee chooses the option to pay annual lease rent at the time of execution of lease deed, he/she can subsequently exercise his option to pay one time lease rent indicated above.</p> <p>iv. If the Allottee opts for the payment of one time Lease Rent, the payments made towards annual Lease Rent earlier shall not be considered while computing the amount of one time Lease Rent. If the payments of due annual Lease Rent have not been made, they shall be paid first and shall not be considered in the computation of one time Lease Rent.</p>
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Note:

1. GST liability as per applicable rates at the time of payment shall be borne by the allottee itself under Reverse Charge Mechanism vide **Notification No. 13/2017 S. No.5, 5A**

dated 28/06/2017.

2. Interest @8.50% P.A. is applicable from 1st July 2020 subject to the revision on 1st January & 1st July of each year as per Go. No. 1567/77-4-20-36N/20 dated 09 June 2020.

1 Section I: Instructions to the Applicants

1.1 Definitions

The key definitions for the purpose of this scheme document are as follows:

- a) "Authority" means the Yamuna Expressway Industrial Development Authority (YEIDA).
- b) "Authorised Bank" implies the bank that has been mentioned in the brochure by the Authority.
- c) "Allotment Letter" is the letter issued by the Authority to the Allottee confirming the allotment under a particular scheme for which application is submitted.
- d) "Allotment Money" is the amount as prescribed in the scheme brochure and is expected to be deposited by the Allottee within the given time period.
- e) "Allottee/Lessee" is the person/legal entity whose application for allotment has been approved by the competent officer.
- f) "Allotment Committee" is a committee constituted at the Authority for reviewing the applications of the applicants whose application has been received for allotment under the advertised scheme.
- g) "Building Regulations" as notified by the Authority for development of land and construction of buildings.
- h) "Completion Certificate" refers to the certificate issued by the Authority once the project has been completed.
- i) "Contract" means the Contract signed by the Parties and all the attached documents which includes General Conditions (GC), the Special Conditions (SC), and the Appendices.
- j) "Day" means calendar day.
- k) "Functional Certificate" refers to the certificate issued by the concerned department in Authority to declare the unit as functional/operational.
- l) "Government" means the Government of Uttar Pradesh.
- m) "Net worth" from Financial Statement, where Net worth shall be calculated as below:
 - a. In case of a Company: Net Worth is the Paid up share capital (excluding share application money) plus Reserves and surpluses (excluding revaluation reserve) less Preliminary and preoperative expenditure; less Miscellaneous expenditure to the extent not written off; less accumulated losses; less intangible assets. (Figures are to be taken from the last audited balance sheet of the Company).
 - b. In case of a Partnership firm: Contribution by each partner taken together in the capital of the firm shall be considered as Net Worth of the firm excluding intangible assets, if any.
 - c. In case of association of people: Net Worth statement (relating to application made by proprietorship firm) certified by the applicant's statutory auditors/ Chartered Accountant along with certified true copies of income tax / wealth tax returns with all

- its enclosures as submitted to Income Tax Authority, should be submitted.
- d. In case of a Trust: Corpus fund and General Fund taken together shall be considered as Net Worth of the Trust.
 - e. In case of a Society: Corpus fund and General Fund taken together shall be considered as Net Worth of the Society.
 - f. In case of a New Company: Net Worth of promoters/ Directors.
 - n) "Lease Rent" is the amount paid by the Allottee/Lessee to the Lessor as rental against the property allocated to the Allottee/Lessee.
 - o) "Lease Deed" is a contractual agreement by which Lessor conveys a property to Allottee/Lessee, for a limited period, subject to various conditions, in exchange for Lease Rent, but still retains ownership.
 - p) "Lessor" refers to a person/entity who leases or rents a property to another; the owner which in this case is YEIDA.
 - q) "Transfer deed" refers to the Document (instrument) by which a property (herein land) is conveyed from its owner (in this case YEIDA) to its tenant.
 - r) "Occupancy Certificate" refers to the certificate issued by the Authority on completion of the building construction as per provisions of Building Regulations at the time of allotment.
 - s) "Premium" referred to in this document means total amount payable to the Authority for allotted land.
 - t) "Lessee" is the person/entity who holds a lease of a property which was given to another person/entity for all or part of a property.
 - u) "Mutation Letter" is the letter issued by competent Authority for change of name on a property.
 - v) AVAILABILITY OF PLOTS IN CATEGORY A, B & C FOR ALLOTMENT & RATE OF ALLOTMENT:-

A. TOY Park (in Sector-33)

i) Upto 4000 sqm

Sr. No.	Size of Plot (in sq. mtrs)	No of Plots	Registration Amount (Rs.)	Rate of allotment(Rs.)
1	1000	02	7,01,000/-	7,010/- per sqm.
Total		02		

B. Handicrafts or ODOP/HANDLOOM Park. (in Sector-29)

i) Upto 4000 sqm

Sr. No.	Size of Plot (in sq. mtrs)	No of Plots	Registration Amount (Rs.)	Rate of allotment (Rs.)
1	450	01	3,15,450/-	7,010/- per sqm
2	595	01	4,17,095/-	7,010/- per sqm
3	1000	01	7,01,000/-	7,010/- per sqm
4	1800	03	12,61,800/-	7,010/- per sqm
5	3000	02	21,03,000/-	7,010/- per sqm
Total		08		

C. GENERAL INDUSTRY/ MSMEs (in Sector-32, 33)

i) Upto 4000 sqm

Sr. No.	Size of Plot (in sq. mtrs)	No of Plots	80% Reserved for respective category	20% Reserved for Startup	Registration Amount (Rs.)	Rate of allotment (Rs.)
1	300	10	08	02	2,10,300/-	7,010/- per sqm
2	450	18	14	04	3,15,450/-	7,010/- per sqm
3	1000	26	21	05	7,01,000/-	7,010/- per sqm
4	1800	04	04	00	12,61,800/-	7,010/- per sqm
Total		58	47	11		

ii) Above 4000 sqm

Sr. No.	Size of Plot(in sq. mtrs)	No of Plots	Registration Amount (Rs.)	Rate of allotment(Rs.)
1	7050	01	46,24,870.50/-	6560.10/- per sqm
2	10000	01	62,04,000.00/-	6204.00/- per sqm
3	12000	01	72,16,008.00/-	6013.34/- per sqm
4	20000	03	1,12,64,000.00/-	5632.00/- per sqm
Total		06		

Note:-

1. Number of plots may increase/decrease as indicated in the above respective categories.

2. Reservation of plots for startup in each respective category.
3. If in startup category number of applicants found less than the available plots then it may be allotted in favor of general applicants.

1.2 How to apply

1.2.1 The scheme brochure can be downloaded from the Authority's website www.yamunaexpresswayauthority.com. The application shall be submitted online through Single Window Portal — Nivesh Mitra website www.niveshmitra.up.nic.in. Processing fee and registration money as given in Data Sheet shall be deposited online payment gateway portal of YEIDA or through RTGS/NEFT. on or before closing date.

1.3 Language

1.3.1 The document for this scheme shall be in English language.

1.4 Applicant's responsibility

1.4.1 It is assumed that before submitting the application, the Applicant has made complete and careful examination of the following:-

- The eligibility criteria and other information/requirements, as set forth in the Brochure.
- All other matters that may affect the Applicant's performance under the terms of this scheme including all risks, costs, liabilities and contingencies.
- Incomplete application or misrepresentations/suppression of the material facts may lead to cancellation before/after screening.

1.4.2 YEIDA shall not be liable for any mistake or error or negligence by the Applicant.

1.5 Documents required with Application Form (Mandatory documents for scrutiny)

Following documents, duly signed by the applicant on each page upto 4000 sq. mtr., and above 4000 sq. mtr. documents should be certified by CA. shall be enclosed with the application form for registration:

- a) Project Report including
 - Feasibility Report of the proposed project.
 - Three years projected cash flow of the project depicting sources of inflow for the project.
 - Statement of sources of funds.

- Land use pattern, construction plan, flowchart showing manufacturing process and implementation schedule. (Certified by the applicant upto 4000 sq. mtr. and above 4000 sq. mtr. should be certified by Architect and applicant.)
- b) Background of the Applicant and its promoters.
 - c) List of Directors and key Shareholders along with their shareholding and shareholding percentage or list of partners/trustees. Board resolution for setting up the project.
 - d) Audited Financial Statements of last three years.
 - e) Registration documents:
 - i. **In case of Company**
 - a. Certificate of Incorporation issued by the Registrar of the Companies.
 - b. Memorandum & Association and Articles of Association.
 - ii. **In case of Partnership Firm**
 - a. Registration certificate issued by Registrar of Firms.
 - b. Partnership Deed.
 - iii. **In case of Proprietorship Firm**
 - a. Copy of PAN Card/Aadhaar Card.
 - b. Copy of Passport/ Voter Card.
 - c. Copy of recent bank statement from any Nationalized Bank.
 - f) **Documents to establish source of financing:**
 - i. Own funds-liquidity certificate from any nationalized/scheduled bank
 - a. Photocopy of listed Company's Shares/NSCs/Bonds/FDRs.
 - ii. Loan:
 - a. From friends/relatives.

OR

 - b. From bank or any financial institution.
 - g) Affidavit of the applicant on annexure- A, certifying that all the statements made in application/Annexures are true and correct.

- h) Net worth from Financial Statement, where Net Worth statements are to be certified by the applicant upto 4000 sq. mtr. and above 4000 sq. mtr. Net Worth statements are to be certified by the applicant and statutory auditors/Chartered Accountant of the Company
(Note: Applicant should have positive net-worth/surplus investable funds)
- i) Turnover from Financial Statement of preceding three financial years.
- j) Any other information which the applicant desires to provide.

1.6 Allotment process

1.6.1 **Scrutiny of applications:** The application will be submitted online through Single Window Portal – Nivesh Mitra website www.niveshmitra.up.nic.in. will be scrutinized by the screening committee without any physical touch as per the Govt. order No. 4071 dated 23.12.2020.

1.6.2 Allotment of plots:

- a. **Upto 4000 sqm** :The applicant qualifying in scrutiny process will be included for draw of plots and the successful applicants will obtain digitally signed allotment letter through online procedure. The allotment letter will be issued digitally signed by the General Manager/Asstt. General Manager (Industry)/Industrial Advisor. Unsuccessful applicants will be refunded the registration amount deposited without interest within three months from the date of draw of lots through e-banking/RTGS/NEFT.
- b. **Above 4000 sqm:** The eligibility for allotment shall be decided on the basis of marks obtained equal to or more than 60% of 85 marks (total of the parameters enlisted from s.no. 1 to 8 of the Annexure D) i.e 51 marks, following which the applicant shall be called for project presentation. The success for allotment shall be decided on the basis of marks obtained in the objective parameter (as per Annexure D). The Allotment Letter shall be issued online based on merit. The allotment letter will be issued digitally signed by the General Manager/Asstt. General Manager (Industry)/Industrial Advisor. Unsuccessful applicants will be refunded the registration amount deposited without interest within three months from the date of project presentation through e-banking/RTGS/NEFT.

1.6.3 **Deposit of Allotment Money:** Applicant has to deposit Allotment Money as mentioned in Data Sheet.

1.6.4 In case the due Allotment Money as mentioned above is not deposited within the stipulated period, the allotment of plot shall be cancelled without giving any opportunity in this regard and 10% of the registration money shall be forfeited.

1.7 Extension of time limit for deposit of Allotment Money

No extension regarding time period will be allowed for the deposit of Allotment Money. In case of default in payment, the Allotment will be cancelled and 10% of the registration money will be forfeited by the Authority. However, in exceptional circumstances, the CEO may allow a time extension of maximum 60 days (upto 4000 sqm.) and 120 days (Above 4000 sqm.) with penal interest of 11.50% plus applicable GST for the defaulted period.

1.8 Payment schedule

- i. **Payment of Installments Money:** Allottee has to pay balance 70% of the total premium in 10 (ten) equal half yearly installments with interest @ 8.50% per annum. The first such installment will come due first, after six month of the date of issue of the allotment letter. It is clear that in case of default in payment as per schedule, an additional penal interest @ 3% compounded half yearly with applicable GST shall be payable along with $8.50\%+3\%=11.50\%$ p.a. on the defaulted amount and for the defaulted period. It shall be the responsibility of the Allottee to deposit the due installment on due time. If the last date of deposit is a bank holiday, then the Allottee shall deposit the installment on the next working day and it shall be treated as last date of deposit.
- ii. **Prepayment of Installment Money:** Pre payment of outstanding Premium is allowed.
- iii. The payments can be made online on Authority's payment gateway portal or through RTGS/NEFT.
- iv. The Allottee shall also deposit due stamp duty (Stamp duty calculation should also be verified from the concerned Sub Registrar, Gautam Budh Nagar by the Allottee himself/themselves) for Lease Deed in treasury of district Gautam Budh Nagar and should produce a certificate to the affect in relevant department at YEIDA within 60 days from the issue of Check List.
- v. **Intimation of payment to YEIDA:** After depositing the installment through the online portal of YEIDA or through RTGS/NEFT, the Allottee shall intimate the same to YEIDA through a written intimation or through an email.
- vi. **Payment at Allottee's own risk:** In case the Allottee violates any conditions of allotment, the rights of the Authority will not be affected in any way irrespective of accepting any payment made by Allottee. No right shall accrue to the Allottee, if the plot allotted/handed over to the Allottee is cancelled, despite the fact that the Allottee has made the entire or partial payment, against the allotment to the Authority.
- vii. In case of default in payment of three consecutive installments, allotment may be cancelled by the Authority.
- viii. **Adjustment of deposited payments:** The payment made by the Allottee/Lessee will first be adjusted towards the penal/interest due, if any, and Lease Rent payable and thereafter the balance will be adjusted towards the installment due.

ix. **Difference in the area of land allotted:**

- a. The area of the plot allotted or handed over may vary from the size of the plot in Allotment Letter/ applied for. If area of the plot in the Allotment Letter issued and actual area handed over to the Allottee / lessee is found to be more or less than the area intimated, a proportionate change in the amount of the Premium would be made. The Allottee has to accept the allotment, if the variation in the size of plot is up to 10% of the area applied for. No dispute/ objection by the lessee would be entertained on the ground of variation in the size of plot. Allottee/ lessee would have no right for change of plot or refund of money deposited by him on this account. If the variation between the plot area applied for and the area allotted is more than 10% and Allottee is unwilling to accept the enhanced or reduced area, the Allottee would have the right to decline the allotment and the deposits made with YEIDA would be refunded without interest, provided that the Allottee applies for refund within 30 days from the date of issue of Allotment Letter or within 30 days from the date of issue of checklist as the case may be.
 - b. With regard to the variation in the area of plot allotted, the applicant shall deposit the amount equivalent to the extended percentage of the total Premium at the current prevailing rate of allotment in lump sum.
- x. The Allottee/Lessee shall not claim/be entitled for any benefit/ relaxation on the ground that the contiguous land has not been made available/handed over. In such an event, the due date of payment of installment shall not be changed in any case and Allottee/Lessee shall have to pay due installment along with interest on due date.
- xi. **Variation in the cost of land:** In case of any increase in the rate of land acquisition/land purchase cost/ex-gratia/No-litigation incentive to the farmers by order of the Court, by the Authority or by the State Government, the Allottee/Lessee will have to pay the additional amount proportionately as the cost of the land and all the terms and conditions prevalent at the time of allotment shall be applicable.

1.9 Change in the name of applicant.

Application made once in the name of Applicant shall not be changed, thus any Change in the name of the applicant will not be allowed under any circumstances. However, the company and firm applicants may change their name as allowed to them as per the provisions of the Companies Act, Partnership Act & as per Prescribed Policy and prevalent rate of YEIDA.

1.10 Change of project/addition of new product.

The request for change of project can be considered by CEO of YEIDA subject to the following conditions:

- i. The Allottee is not a defaulter and must have deposited the Allotment Money along with interest, if any.
- ii. The allottee has to apply along with project report for the new project/new product within the respective categories.

- iii. The terms and conditions shall not change if there is a change in the project/new product.

1.11 Unsuccessful applicants

- 1.11.1 The registration money of unsuccessful applicants shall be returned to them without interest, if the period of deposit of such money with YEIDA is less than one year. However, if the period of deposit is more than one year, simple interest shall be paid at SBI saving bank's interest rate for the period of deposit exceeding 1(one) year.

2 Section II: Special conditions

2.1 Implementation

- 2.1.1 Norms of Development-as per Building Regulations of YEIDA.
- 2.1.2 The Allottee will commence the construction after taking over physical possession of the plot as per approved building plan and inform in writing to YEIDA about timely completion of the approved project.
- 2.1.3 The Allottee/ Lessee / Transferee will adhere to the schedule of construction of the building as per the building regulations of YEIDA.
- 2.1.4 The Allottee shall be liable to complete the project as per the schedule given by him in the land use pattern of the project report and shall inform the authority in writing in the prescribed format.
- 2.1.5 If Completion Certificate (which can be obtained online through Single Window Portal - Nivesh Mitra website www.niveshmitra.up.nic.in) for full project (within 48 months from the date of lease/ possession) is not taken by the Allottee as per the schedule, time extension charges shall be payable by the Allottee as decided by YEIDA.
- 2.1.6 The Allottee/ Lessee shall achieve minimum applicable FAR according to the Building Regulations of YEIDA and obtain Completion Certificate of the project from YEIDA within the time limit.
- 2.1.7 Extension for completion of First Phase: Normally no extension for completion would be granted.
- 2.1.8 In case of non- adherence to the aforementioned schedule for obtaining Completion Certificate from YEIDA, the plot shall be cancelled and/or lease shall be finalized. On such cancellation/finalization, 20% of the total Premium will be forfeited and the lessor shall resume possession of the plot, along with any structure thereon, with the Allottee having no right of claim or compensation thereof. The balance amount deposited shall be refunded without any interest.

2.2 Functional Certificate

2.2.1 It will be essential to obtain Functional Certificate from YEIDA within 48 months from the date of execution of lease deed. Following documents are required to be submitted online through Single Window Portal — Nivesh Mitra website www.niveshmitra.up.nic.in to obtain Functional Certificate- Building Completion Certificate as per building regulations of YEIDA-

- (i) No dues certificate (**can be obtained online through Single Window Portal — Nivesh Mitra website www.niveshmitra.up.nic.in**).
- (ii) Any two Utility Bills (i.e. first electricity bill of permanent connection or documents for installation of permanent connection/ telephone bill/ water charges bill).
- (iii) Copy of bills for equipment/ Plant & Machinery/ Lab as per project report submitted.
- (iv) Meter ceiling certificate of electricity connection.
- (v) First sale invoice of finished product.

2.2.2 The Functionality of the unit will be indicated in the certificate on behalf of meter ceiling certificate of electricity connection/first sale of finished product.

2.2.3 **Penalty for not obtaining Functional Certificate:** In case of failure to obtain Functional Certificate within 48 months from the date of execution of lease deed, the Allottee may be allowed by the CEO a further extension of 6 months as a grace period on the basis of valid reasons of delay.

If the unit has not become functional after the expiry of 54 months with grace period an interdepartmental committee will be constituted to review the progress of the project by the order of CEO/Lessor. The committee will submit an inspection report regarding the effective steps taken by the unit to make the unit functional before the lessor then lessor will take decision for further extension based on merits of virtue/factual evidences. The decision taken by the CEO/Lessor will be final and binding on the allottee.

NOTE:- In exceptional circumstances if time extension is demanded by the allottee for obtaining functional certificate, CEO/lessor may allow time extension on the following conditions :-

1. One year's extension can be granted on the payment of penalty equal to 4% of the total premium of the plot with the approval of CEO YEIDA.
2. In case the unit is not made functional even after above extension, the unit has to apply for 2nd extension with adequate explanations of the grounds of delay. A further extension of a maximum one year can be granted by the CEO on payment

of 1% per month of the current rate if the special circumstances are found convincing.

- 2.2.4 In case of non- adherence to the aforementioned schedule for obtaining Functional Certificate from YEIDA, the plot shall be cancelled and/or lease shall be determined. On such cancellation/ determination, 20% of the Premium will be forfeited and the Lessor shall resume possession of the plot, along with any structure thereon, with the Allottee having no right of claim or compensation thereof. The balance amount deposited shall be refunded without any interest.

3 Section III: General terms and conditions

3.1 Execution of Lease Deed

- 3.1.1 YEIDA shall be required to issue a check list for the execution of Lease Deed to the Allottee within 15 days from the date of receiving the lease plan from the concerned department.
- 3.1.2 The Allottee is required to execute the Lease Deed and take the possession within 60 days from the date of issuance of the checklist.
- 3.1.3 In case of failure to execute the Lease Deed and taking over of possession within the above-stipulated time period, the allotment shall be cancelled and 10% of the entire amount deposited with YEIDA would be forfeited.
- 3.1.4 In exceptional circumstance, the CEO may grant extension of time up to a maximum period of 180 days for execution of Lease Deed and taking over of possession. This extension shall be granted on the basis of payment equivalent to 2.5% of total Premium with applicable GST of the plot upto 4000 sqm. and 3% with applicable GST of the total premium of the plot above 4000 sqm. on a pro rata basis for the delayed period. Further extension for the execution of Lease Deed shall not be provided in any circumstances and action shall be taken as per the provision of 3.1.3.
- 3.1.5 **Documentation charges:** The stamp duty, registration charges and all legal expenses involved in the execution and registration of Lease Deed, as stated above, and all other incidental expenses shall be borne by the Allottee. The rate of stamp duty shall be applicable as per the notification issued by the state government from time to time.
- 3.1.6 **Period of lease:** Allotment of plot will be made on leasehold basis for a period of 90 years from the date of execution of Lease Deed.

3.2 Lease Rent

3.2.1 Details as per Data Sheet

3.3 Location charges

3.3.1 Location charges shall be payable by the Allottee/ Lessee on the total Premium before execution of Lease Deed in lump sum. The rate would be as mentioned in the Data Sheet. The Location charge will be payable with Lease Rent and applicable GST.

3.4 Possession of the plot

3.4.1 Possession of allotted plot will be handed over to the Allottee/ Lessee on the date of execution of Lease Deed

3.4.2 Execution and registration of Lease Deed can be done only after a minimum payment of 30% of Premium and one year Lease Rent, in advance subject to condition that acquired/Purchased land of the allotted plot is under the possession of the authority.

3.4.3 For the purpose of payment of Lease Rent and other statutory/obligations or scheme compliance the date of possession shall be treated from the date of execution of Lease Deed.

3.5 Variation in actual area of allotted plot

3.5.1 Details as per section 1.8(ix).

3.6 Surrender

3.6.1 The Allottee can surrender the plot to the Authority and the application shall be submitted online through Single Window Portal — Nivesh Mitra website www.niveshmitra.up.nic.in before its cancellation.

In the case of Surrender:-

- i. Up to 30 days from the date of issuance of Allotment Letter, no deductions shall be made.
- ii. From 31 to 60 days from the date of issuance of Allotment Letter, 10% of registration money shall be deducted.
- iii. Beyond 60 days, 10% of the total Premium or the amount deposited up to the date of surrender, whichever is less, shall be forfeited. The balance if any shall be refunded without interest. However, Lease Rent, penalty and any other charge deposited shall not be refunded.

Note: *The date of surrender in above case shall be the date on which application is received at the Authority's office or on Nivesh Mitra Portal. No subsequent claim on the basis of postal certificate will be entertained.*

3.6.2 The request for surrender shall contain signatures of Allottee/Lessee along with:

- i. In case of incorporated company / society/charitable society/trust the request should be supported by the Certified Copy of the Resolution of Board of Directors / Executives.
- ii. In case of registered partnership firm letter of authorization by its partners.
- iii. The Allottee has to execute surrender deed, if Lease Deed/transfer deed has been executed then all the original legal documents are to be surrendered unconditionally to the authority.

3.7 Change in Constitution (CIC)/ Change in Shareholding (CIS)

3.7.1 Change in Constitution/CIC/CIS may be of two types as under:

- i. Change from one status (i.e. Proprietorship, Partnership, LLP, Pvt. Ltd. Company, Public Ltd. Company) to another on choice of Proprietor, Partners, and Shareholders with a view to re-organize the business.
- ii. Change within the status i.e. re-constitution of partnership firm by exclusion/retiring of some partners and/or introducing/adding some partners.

3.7.2 Change in constitution may take place either voluntary or mandatory i.e. by the order of court or change in law or due to death of Proprietor, Partner. Shareholders etc.

3.7.3 There shall be following important principles of CIC/CIS Policy:

3.7.3.1 In all voluntary cases (i.e. non-mandatory), there shall be restriction on change in ownership/shareholding for more than 49% of holding patterns given at the time of allotment till unit becomes functional (in case of Industry) and till Completion of all phases in rest of cases. All voluntary/non- mandatory CIC/CIS cases will be liable to pay CIC/CIS charges.

3.7.3.2 Mandatory CIC/CIS cases shall be:

- a) Death of Proprietor/Partners/shareholder (on presenting/providing succession certificate of civil court).
- b) Amalgamation/ merger/takeover pursuant to any order/scheme of the competent court/Tribunal Government order (not including voluntary amalgamation/ merger/takover).
- c) Change in law or other cases (Government order/YEIDA policies/Board decisions). In all above mandatory cases no CIC/CIS charges will be applicable if so directed by competent court/ Government order/ Board decision, as the case may be.

Further, above mandatory CIC/CIS shall not include any scheme of amalgamation, take over, merger or demerger etc. are framed between two or more entities in the ordinary course of business as part of their corporate/ business strategy, as it is a procedure of mere sanction to such a scheme by the competent Authority/Tribunal/Court. In such case Standard Transfer charges will be applicable

3.7.3.3 In non-mandatory CIC/CIS cases where CIC/CIS does not affect existing ownership/ shareholding then no CIC/CIS charges shall be applicable.

3.7.3.4 If there is only change in name of the business entity i.e. Proprietorship, Partnership, LLP, Pvt. Ltd. Company, Public Ltd. Company, Society, Trust etc. (ownership/shareholding should not be changed to any extent) then no CIC/CIS charges shall be applicable.

3.7.3.5 If there is only change of Directors of the Company i.e. Pvt. Ltd. Company, Public Ltd. Company (ownership/shareholding should not be changed to any extent) then no CIC/CIS charges shall be applicable.

3.7.3.6 If amalgamation/ merger/takeover in general or by way of invoking and transfer of pledged shares whether it is voluntary or on request of allottee for business purpose then full CIC/CIS charges shall be applicable.

3.7.3.7 The change in shareholding/ownership shall be considered as change in constitution. Therefore there will not be separate policy for change in shareholding. All requests for change in shareholding will be processed in accordance with policy of CIC/CIS.

3.7.3.8 In case of change in shareholding among Blood relation as prescribed below: Father, mother, brother, sister, son, daughter, husband, wife & grandchildren, no CIC/CIS charges shall be applicable.

3.7.3.9 In case of listed Company, any change in shareholding of promoters, shall be considered as change in shareholding thereby CIC/CIS will be charged accordingly.

3.7.3.10 The CIC/CIS shall be done only in cases where the applicant produces No Dues from the concerned departments of the Authority.

3.7.4 Charges in case of CIC/CIS:

3.7.4.1 Standard charges means charges equivalent to transfer charges (on current reserve price/ allotment rate & bid rate at the time of transfer, whichever is higher) prevailing at the time of application. Full CIC/CIS charges i.e. standard charges shall be collected in all cases not covering under 3.2 and all other relatives mentioned in 3.8

3.7.4.2 In case if CIC/CIS the CIC/CIS deed has to be executed within 90 days of the permission given by the Authority.

- a. Full CIC/CIS charges i.e. standard charges will be collected in all cases.
- b. In case change in constitution is less than 100% of shares/holdings, the charges for CIC/CIS shall be calculated on a pro-rata basis (Total CIC/CIS charges applicable X Percentage change in shares). But change in shareholding should not be more than 50% of the whole stake i.e. controlling stake, in such type of cases 100% i.e. full CIC/CIS charges will be applicable because more than 50% stake transfer results in transfer of the whole asset/property.

- c. The Change in constitution shall not result in change in the ownership otherwise charges shall be applicable.
- d. When the shareholders/ partners change the ratio of their shares internally then charges will be levied in gaining ratio of the shares.
- e. In case of society, if society changes its general body members, shall be treated as change in constitution and CIC/CIS charges shall be charged in accordance with as change in shareholding in case of companies. However if terms & conditions of brochure/lease deed does not allow the change, then change in member shall not be allowed.
- f. In case of CIC/CIS/ CIS, Allottee will have to intimate YEIDA within 45 days of such changes in CIC/CIS & CIS. After 45 days allottee has to pay Rs. 5000/- per month as penalty.

Procedure for Change in Constitution/Shareholding

3.7.5. Change in constitution may be allowed by CEO as per prevailing policy of the Change in constitution of YEIDA on completion of required formalities as decided by the lessor from time to time in the following manner along with Application and Processing fee of Rs. 10,000/-in the shape of a bank draft or online through Net banking, RTGS or NEFT:

3.7.5.1 The application for change in constitution from proprietorship to partnership, shall come from the Lessee(s)/ Allottee(s) transferor(s).

3.7.5.1.1 Certified copy of the Partnership Deed, Form 'B' regarding registration of firm or any other document to this effect issued by the Registrar. Form 'A' showing statement regarding name of Partners, or any other document to this effect issued by the Registrar of firms of respective State. Notarized affidavit stating the relationship of the incoming partners with the original Allottee/ Transferee (in case exemption from payment of CIC/CIS charges is sought).

3.7.5.1.2 The application shall invariably be accompanied by the NDC (No Dues Certificate) from term lending institution in case the property is mortgaged/under collateral security. Earlier Permission to Mortgage will be cancelled and fresh PTM will be released.

3.7.5.2 For Changes within partnership, documents required shall be as follows:-

3.7.5.2.1 Supplementary deed in case of any new partner is introduced or any existing partner is retired.

3.7.5.2.2 Dissolution deed if the firm is dissolved.

3.7.5.2.3 Form 'C' & revised Form 'A' issued by Registrar of firm or any other document to this effect issued by the Registrar of firms of respective State.

3.7.5.2.4 Notarized affidavit stating the relationship of the incoming partners with the original Allottee/ Transferee (In case payment of subsidised CIC/CIS charges is sought.)

3.7.5.3 For change from proprietorship/ partnership to L.L.P:

3.7.5.3.1 Certificate of incorporation issued by Ministry of Corporate Affairs,

3.7.5.3.2 L.L.P. Agreement as per LLP Act 2008.

3.7.5.3.3 Notarized affidavit stating the relationship of the incoming partners with the original Allottee/ Transferee. (In case payment of subsidised CIC/CIS charges is sought.)

3.7.5.4 For changes within Pvt. Ltd. Company

3.7.5.4.1 Certified list of shareholder with shareholding percentage with value duly certified by Chartered Accountant

3.7.5.4.2 List of Directors duly certified by Chartered Accountant along with relevant Form of R.O.C.

3.7.5.4.3 Board Resolution duly certified by the Chairman of meeting/CA.

3.7.5.4.4 Notarized affidavit stating the relationship of the incoming shareholders with the original Allottee(s)/ Transferor(s). (In case payment of subsidised CIC/CIS charges is sought.)

3.7.5.5 For change from Proprietorship /Partnership to Pvt./ Company :

3.7.5.5.1 Memorandum and Article of Association (certified copy).

3.7.5.5.2 Certified copy of Certificate of Incorporation issued by Registrar of respective State

3.7.5.5.3 Certified list of Board of Directors and certified list of shareholders showing number of shares and their value along with their addresses duly certified by Chartered Accountant.

3.7.5.5.4 Duly certified Board Resolution of Directors regarding taking over the entire business by the Company from Proprietor /Partners. Also the resolution in favor of person authorized by the Board of Director of the Company to correspond with the Authority

3.7.5.5.5 Notarized affidavit duly sworn and attested regarding the relationship of the shareholders with the original Allottee (s). (In case payment of subsidised CIC/CIS charges is sought.)

3.7.5.6 For change from Proprietorship /Partnership / Pvt. Ltd. To Public Ltd. Company:

3.7.5.6.1 Memorandum and Article of Association (certified copy).

3.7.5.6.2 Certified copy of Certificate of Incorporation issued by Registrar of respective State.

3.7.5.6.3 Certified list of Board of Directors and certified list of shareholders showing number of shares and their value along with their addresses duly certified by Chartered Accountant.

3.7.5.6.4 Duly certified Board Resolution of Directors regarding taking over the entire business by the Company from Proprietor /Partners. Also the resolution in favor of person authorized by the Board of Director of the Company to correspond with the Authority.

3.7.5.6.5 In case of Public Ltd. Co., certified copy of Commencement of Business certificate issued by Registrar of Companies is also to be submitted.

3.7.5.7 In case of changes in shareholding of promoters within Public Ltd. Co.:

3.7.5.7.1 Certified list of Board of Directors and certified list of shareholders showing number of shares and their value along with their addresses duly certified by Chartered Accountant.

3.7.5.7.2 Duly certified Board Resolution of Directors regarding taking over the entire business by the Company. Also the resolution in favor of person authorized by the Board of Director of the Company to correspond with the Authority.

3.7.5.8 If due to change in constitution, there is no change in legal entity of the lessee, fresh legal documentation would not be insisted upon. However, if the allottee/transferee themselves need fresh documentation, they may do so at their own level in case the property is acquired by way of transfer, and /or legal entity is changed by way of change in constitution, they would be required to execute the transfer deed. All expenses on account of legal documentation would be borne by the allottee(s) transferee (s).

3.7.5.9 In case only name of incorporated company is changed and a certificate is issued in respect of the same by R.O.C. then supplementary deed will be executed and duly registered for this change in name.

3.7.5.10 Notarized affidavit stating the relationship of the incoming partners/shareholders with the allottee(s)/transferee(s). The lessee/transferee will be required to execute changes of shareholding deed with the sub-registrar for the percentage of change in the shareholding.

3.8 Construction

3.8.1 The Allottee/Lessee shall construct the building after getting proper approval of the building plan from the concerned department of YEIDA in writing.

3.8.2 The Allottee/ Lessee shall complete construction within prescribed time limit i.e. 48 months from the date of execution of Lease Deed.

3.8.3 The Allottee/ Lessee shall complete construction of buildings as per approved plans and obtain completion/ occupancy certificate from YEIDA for minimum area as prescribed in Building Regulations of the YEIDA at the time of allotment.

3.9 Maintenance

3.9.1 The Allottee/Lessee at his own expense will take permission for sewerage, electricity and water connections from the concerned departments of YEIDA or from the competent authority in this regard.

3.9.2 The Allottee/Lessee shall have to plan a maintenance programme whereby the entire demised premises and buildings shall be kept:

- a. At all times in a state of good condition and in good sanitary condition to the satisfaction of the Lessor.
 - b. And to make available required facilities as well as to keep surroundings neat and clean, good and healthy and in safe condition at all times, according to the convenience of the inhabitants of the place.
- 3.9.3 The Allottee/ Lessee shall abide by all Regulations, Building Regulations and guidelines of YEIDA framed/issued under section 8, 9 and 10 or under any other provisions of the U.P. Industrial Area Development Act 1976, as amended from time to time, and the rules made therein. The allottee shall abide by the Real Estate (Regulation and Development) Act, 2016, as amended from time to time and the various rules made thereunder.
- 3.9.4 The Allottee/ Lessee shall not display or exhibit any posters, statues, other articles which are repugnant to the morals or indecent or immoral and in conformity with the various laws and policies, and the orders of Hon'ble Supreme Court of India and the Hon'ble Allahabad High Court. Furthermore, the allottee shall abide by section 3(1) of Real Estate Industrial Area before marketing, advertising, selling in respect of the project. All the advertisements and marketing details for the project shall contain all such information about the project that shall enable the prospective consumer/customer in making an informed decision about the project.
- 3.9.5 The Allottee/ Lessee shall not display or exhibit any advertisement or placard in any part of the exterior wall of the building, except at a place specified for the purpose by the Lessor.
- 3.9.6 In case of non-compliance of terms and conditions/ any directions of YEIDA, CEO of YEIDA shall have the right to impose penalty as it may consider just and/or expedient by explaining or recording the reasons thereof.
- 3.9.7 If the maintenance work of any area is not found satisfactory according to YEIDA, the required maintenance work will be carried out by YEIDA and all the expenses in carrying out such work shall be borne by the Allottee.
- 3.9.8 The Allottee at his own expense will take maintenance of patri alongside its premises.
- 3.10 Mortgage**
- 3.10.1 The mortgage permission shall be granted after payment of minimum 30% of the total Premium, in favour of a scheduled Bank/Govt. organization/financial institution approved by the Reserve Bank of India for the purpose of raising resources, for construction on the allotted plot, provided the allotment/lease of the plot is neither cancelled nor any show cause notice has been issued to the Allottee/Lessee and has a valid time period for construction as per terms of the Lease Deed or has obtained valid extension of time for construction as the case may be and has cleared up to date dues of the plot Premium and Lease Rent.
- 3.10.2 YEIDA shall have the first charge on the plot towards payment of all outstanding dues.
- 3.10.3 In the event of sale or foreclosure of the mortgaged/charged property, YEIDA shall be entitled to claim all dues YEIDA may recover not more than 50% or as decided by the authority, of the unearned increase in values of properties in respect of the market value of the said plot as first charge, having priority over the said mortgage charge. The decision of the authority in respect of the market value of the said plot shall be final and binding on all the parties concerned.
- 3.10.4 YEIDA shall have right to the recovery of the unearned increase and the pre-

emptive right to purchase the property as mentioned herein. It shall apply equally to involuntary sale or transfer, be it bid or through execution of decree of insolvency from a court of law.

3.10.5 Documents required for obtaining Mortgage Permission, Application can be submitted along with the following documents:-

- i. No dues certificate issued by the concerned Accounts Officer, or an undertaking by bank/institution for payment of the total due amount directly to YEIDA.
- ii. A letter from bank/institution that the grant of loan is under consideration
- iii. An affidavit that no unauthorized construction has been done by the allottee.
- iv. Processing fee Rs 5,000/- with applicable GST is required to be deposited by the Allottee/Lessee in case of Mortgage permission is sought.
- v. A copy of the resolution passed by Board, in case of Company/Trust/ Society etc.
- vi. In case of Allottee being a Partnership Firm, all partners shall be required to sign the application for Mortgage, alternatively the signatory partner has to produce an Authority Letter/Power of Attorney to move such an application.
- vii. Any other documents as required by the CEO or any authorized officer, YEIDA from time to time.

3.10.6 Collateral security: The Lessee has to make full payment of total premium and other dues of plot and make unit functional. The processing fee of Rs. 5000 with applicable GST has to be deposited for the permission of collateral security. An application along with bank challan of deposited processing fee amount with applicable GST and letter of bank for permission of collateral security has to be submitted to concerned department of authority.

Note: All the clauses mentioned under sub-clause 3.10 Mortgage, shall become a part of the 'Loan Agreement' signed between the Bank and the Lessee. The copy of the 'Loan Agreement' shall be submitted to the Authority by the Lessee within 15 days of the execution of the Agreement.

3.11 Transfer of Plot (in respective cluster category & GENERAL INDUSTRY/ MSMEs Category only)

3.11.1 An Allottee/Lessee will be allowed to sell/ transfer the plot allotted by the Authority in respective cluster category & GENERAL INDUSTRY/ MSMEs category only to any other person who is eligible to apply as mentioned in Data Sheet.

- i. Transfer shall be permitted only after the unit has been declared functional by YEIDA.
- ii. In such cases, where cancellation has been made or are in process of cancellation, are not eligible for transfer.
- iii. Transfer of plot or reducing the shareholding from 51% shall be allowed only after the unit is declared functional or retaining the allotted plot in the same entity upto 5 years whichever is later from the date of allotment.

3.11.2 Application for transfer in respective cluster category & GENERAL INDUSTRY / MSMEs category only shall be received on the prescribed Transfer Application Form available from bank on payment, along with the transfer processing fee, which shall be Rs. 1000/- with applicable GST to be deposited in prescribed bank of Authority. One copy of deposited challan is to be submitted along with transfer application form.

- 3.11.3 The Transfer application form should be duly filled along with the NOCs from various departments i.e. Project division, no dues from the Accounts/ allotment department, last paid electricity bill (In case all original as well as subsequent legal documents are submitted, then NOC from Bank/ Financial Institution is not required).
- 3.11.4 Photograph & signature of Transferor(s)/ Transferee(s) must be attested by the Bank Manager on the application form itself. In case of companies, certified copy of Resolution of Board of Directors authorizing the signatory formoving the transfer application should also be submitted with application.
- 3.11.5 Both Transferor and Transferee must be competent to execute a contract on the date of transfer application.
- 3.11.6 The plot shall be transferred for similar purpose in respective cluster category & GENERAL INDUSTRY / MSMEs category only.
- 3.11.7 Transfer of partial area of plot shall not be considered.
- 3.11.8 Charges for Transfer in respective cluster category & GENERAL INDUSTRY/ MSMEs Category only:
- i. Transfer charges are @5% of the prevailing Premium amount of plot at the time of transfer.
 - ii. Transfer or/and Transferee should severally and/ or jointly satisfy themselves about the overdue/ dues position from the concerned department of YEIDA.
 - iii. Transfer charges once deposited will not be refunded/ adjusted even in case of transfer does not materialize due to dispute between the parties or withdrawal of transfer application. Once the transfer application is submitted it can be withdrawn only with the consent of the transferor and the Transferee. In case of dispute, orders of the competent court shall be required for withdrawal of the transfer application/Transfer Memorandum.
- 3.11.9 Once transfer is approved and the Transfer Memorandum is issued and transfer deed is executed, all the assets and liabilities against the plot/unit would pass on to the Transferee.
- 3.11.10 Lease Rent will be charged @2.5% with applicable GST of the prevailing Premium with location charges, on the date of issue of Transfer Memorandum subject to enhancement as envisaged in Lease Deed/ Transfer Deed/ Transfer Memorandum. In case of one time Lease Rent facility has not been availed.
- 3.11.11 In case of transfer of rights of a minor, orders of the District Judge are required regarding the protection of interest of the minor.
- 3.11.12 Transfer of property by Allottee/Transferee directly or through registered GPA, to his/her Blood relation as prescribed below: Father, mother, brother, sister, son, daughter, husband, wife & grand children, no CIC/CIS charges shall be applicable would be allowed without charges, subject to payment of processing fee of Rs. 1000 with applicable GST in respective cluster category & GENERAL INDUSTRY / MSMEs category only.
- 3.11.13 The transfer of industrial property is an act between Transferee(s) and transferor(s) and as such any liens, claims, damages, compensation, adverse court orders etc. arising thereof subsequently would be the sole liability of Transferee(s) and YEIDA would remain indemnified against the same.
- 3.11.14 The Allottee/ Lessee/ Transferee shall execute a Transfer deed, after paying

the transfer charges, within 90 days from the date of issue of the Transfer Memorandum by YEIDA . A certified copy of the same shall be submitted to YEIDA after the registration of the same with the Sub- Registrar, of YEIDA. The Transfer Memorandum shall be part of the transfer deed executed between the Transferor and the Transferee. In case of default, penalty shall be levied as decided by the CEO. In case transferor and Transferee fail to execute transfer deed within 90 days, penalty of Rs. 100/- per day with applicable GST will be payable for the delayed period. One copy of registered transfer deed with sub-registrar has to be submitted to YEIDA Industry Department for record.

3.11.15 In case of transfer/ sale by financial institution under section-29 of State Financial Corporation *and* by bank under SARFAESI Act, the application has to be moved by the financial institutions/bank along with all NOC's required in the transfer application form. In such case transfer charges at the rate of 10% with applicable GST of the sale value will be levied.

3.11.16 Issue of Mutation Letter: Application can be submitted by the Transferee at the concerned department along with the following documents in respective cluster category & GENERAL INDUSTRY/ MSMEs category only:-

- i. A certified copy of the Transfer Deed duly executed by the Transferor.
- ii. Copy of challan against payment of transfer charges with applicable GST in the Authorized bank shall be required.

3.12 Misuse, Additions, Alterations, etc.

3.12.1 The allottee is bound to comply with the statutory mandate of Real Estate (Regulation and Development) Act as and when it is applicable in respect of any and every alteration or addition to the sanctioned plan and project specifications. The Allottee/Lessee shall not use the plot for any purpose other than that for which it has been allotted /leased. The Allottee/Lessee shall not be entitled to divide the plot or amalgamate it with any other plot. In case of violation of the above conditions, allotment shall be liable to be cancelled and possession of the premises along with structure thereon, if any, shall be resumed by the Authority.

3.12.2 The Allottee/Lessee will not make any alteration or addition to the said building on the demised premises, erect or permit to erect any new building on the demised premises without the prior written permission of the Lessor. In case of any deviation from such terms of plan, he/she shall immediately, upon receipt of notice from the Lessor requiring him to do so, correct such deviations as aforesaid.

3.12.3 If the Allottee/Lessee fails to correct such deviations within a specified period of time after the receipt of such notice, it will be lawful for the Lessor to cause such deviation to be corrected at the expense of the Allottee/Lessee .

3.13 Indemnity

3.13.1 The Allottee/Lessee shall be wholly and solely responsible for the implementation of the Project and also for ensuring the quality of development/construction, subsequent operations and maintenance of facilities and services, till such time that an alternate agency for such work is identified and legally appointed by the Allottee/Lessee. The Allottee/Lessee shall execute an indemnity bond (on Annexure- E), indemnifying YEIDA against all disputes arising out of:

- i. The non-completion of work.

- ii. The quality and validity of development, construction, operations and maintenance.
- iii. Any legal dispute arising out of allotment, lease and/or sub-lease to the final purchaser.

3.14 Liability to Pay Taxes

3.14.1 The Allottee/Lessee will be liable to pay all rates, taxes, charges, user fee and assessment of every description imposed by any authority empowered in this behalf, in respect of the plot, whether such charges are imposed on the plot or on the building constructed thereon, from time to time.

3.15 Overriding Power over dormant properties

3.15.1 The Lessor reserves the right to all mines, minerals, coals, washing gold, earth oils, quarries in or under the plot. The lessor has full right and power at any time to do all acts and things which may be necessary or expedient for the purpose of searching for, working and obtaining, removing and enjoying the same without providing or leaving any vertical support for the surface of the plot(s) or for the structure time being standing thereon. The Lessor shall make reasonable compensation to the Allottee/Lessee for all damages directly occasioned by exercise of the rights hereby reserved. The decision of the CEO of YEIDA on the amount of such compensation will be final and binding on the applicant.

3.16 Cancellation

3.16.1 In addition to the other specific clauses relating to cancellation, the Authority shall be free to exercise its rights of cancellation of allotment in the case of:

- a) Allotment being obtained through misrepresentations/ suppression of material facts.
- b) Any violation of directions/ rules issued by the authority or any other statutory body.
- c) Default on the part of the applicant/Allottee /Lessee for breach/violation of terms and conditions of Registration/ Allotment/Lease and/ non-deposit of Allotment Money / non-deposit of three consecutive installment money. Deposit against Lease Rent, interest on Lease Rent and up to date dues, Lease Rent and penalty, if any will be forfeited.

3.16.2 In the event of cancellation, under sub-clause (a) above, the entire deposits till the date of cancellation shall be forfeited and possession of the Plots will be resumed by YEIDA / lessor with structure thereon, if any, and the Allottee/Lessee will have no right to claim compensation thereof.

3.16.3 In the event of cancellation, under sub-clause (b) & (c) above, 20% of the total Premium or the amount deposited up to the date of cancellation, whichever is the least, shall be forfeited and balance, if any, shall be refunded without any interest.

Note: However, in case of cancellation the concerned department of the Authority shall issue a show cause notice to the Allottee/Lessee of minimum 15 days before finally cancelling the plot.

3.17 Restoration

3.17.1 YEIDA can exercise the power of cancellation of plots for breach of terms and conditions of allotment /Lease Deed/ Transfer Deed. However, if the Allottee/ Lessee applies for restoration of the plot, CEO of YEIDA can restore the plots,

subject to the following conditions:

- i. The application for restoration may be submitted to the authority within a period of 3 months from the date of cancellation.
- ii. The decision about the restoration of the plots will be taken by the YEIDA within a period of 3 months after the date of application of restoration.
- iii. The Allottee/Lessee would pay restoration charges at the rate of 10% of the total Premium with applicable GST of the plot at current rate calculated at the time of restoration.
- iv. The Allottee will have to make up to date payment, dues, penalties & interest etc. as applicable.
- v. The Allottee will submit project implementation schedule in the shape of affidavit. The maximum time allowed shall be two years.
- vi. The Allottee has to submit bank guarantee in the form of Performance Guarantee of Project Implementation Schedule given by him, which shall be valid for a duration of 3 months more than the Project Implementation Schedule and the value of Performance Guarantee will be 10% of the prevailing price of the plots.
- vii. If there is any court case pending before any court, it has to be withdrawn by the Allottee. All legal expenses shall be borne by the Allottee.
- viii. In case allotment had been cancelled due to non-permissible activities, the request for restoration of the plot shall only be considered on submission of notarized affidavit for non-carrying out the non-permissible activities. Further an inspection of the site about the same will be done by YEIDA before restoration.
- ix. In case of restoration in prepossession cases, the Allottee shall be required to get the unit functional as per terms of the Lease Deed. In such case, they will have to comply with the clause as stated above.

3.18 Amalgamation and sub-division

Amalgamation and sub-division of the plot shall not be allowed.

3.19 Other Clauses

- i. The Lessor/Authority reserves the right to make such amendments, additions, deletions and alterations in the terms and conditions of allotment, lease, Building Regulations as it finds expedient. Such amendments, addition, deletion and alterations shall be binding on the Allottee/Lessee.
- ii. In such circumstances where authority is not able and the possession of plot is not handed over to the Allottee within 4 years, from the date of allotment letter, the full amount deposited by the Allottee would be refunded along with the simple interest at SBI saving bank's rate calculated from the date of allotment.
- iii. In cases of "Force majeure" or such circumstances beyond YEIDA's control, YEIDA is unable to make allotment or the possession of the allotted plot, entire registration money or the deposit, depending on the stage of allotment will be refunded at SBI saving bank's rate if the delay is more than 1 year.
- iv. In case of increase in the compensation/ex-gratia to farmers by the order of Court/Govt/Authority or otherwise, the increased amount shall be payable by the Allottee/ Lessee of the Land.
- v. In case of any dispute in the interpretation of any word or terms and conditions

of the allotment/ Lease, the decision of the CEO of YEIDA shall be final and binding on the Allottee/Lessee and his/ her/their successor.

- vi. YEIDA will monitor the implementation of the project as per the implementation schedule given by allottee.
- vii. The Allottee/ Lessee and his/ her/ their successors shall abide by the provisions of the U.P. Industrial Area Development Act 1976 (U.P. Act No.6 of 1976) and such rules, regulations or directions as are issued there infrom time to time.
- viii. Any dispute between the Lessor and Allottee/ Lessee shall be subject to the territorial jurisdiction of Civil Courts at Gautam Budh Nagar or the High Court at Allahabad.
- ix. The allotment will be accepted by the Allottee on "As-is-where-is basis". The Allottee is advised to visit the site before submission of applicationform for allotment.
- x. Provisions related to fire safety, environmental clearance, NGT directives shall be observed by the Allottee. Necessary approvals shallbe obtained from the competent authority by the Allottee.
- xi. In case a link road comes anywhere in the plot area, it shall be managed by the Allottee/Lessee till an alternate arrangement is made by YEIDA.
- xii. All arrears due to the Lessee are recoverable as arrears of land revenue.
- xiii.** YEIDA in larger public interest has the authority to take back the possession of the land/ building by making payment at the appropriate rate as decided by CEO giving the Allottee/Lessee an opportunity of being heard. However, the decision of the CEO of YEIDA shall be finaland binding on theAllottee/Lessee.
- xiv. The Allottee/Lessee shall have to make sufficient provision of parking in the plot itself.

3.20 Incentive for early commencement of production by the unit, an incentive at the rate of Rs. 50/- per sqm. will be given on the following conditions.

- a. The unit has to start production within 18 months from the due date of execution of lease deed intimated via letter of checklist.
- b. A minimum 50% of the permitted covered area of the allotted plot has to be constructed.
- c. The unit has to apply for production incentives within 6 months of the date of commencement of production.
- d. Under no circumstances any application for grant of incentive shall be entertained after the period specified in clause (a) above.

The early-production incentive amount will be adjusted from the last due installments of the premium. In case full payment has already been made, the incentive amount will be paid through Net-banking/RTGS/NEFT.

3.21 Renting of Industrial Premises

- a) The premises for which renting permission is sought should not be a cancelled one and should have been declared functional through a written communication by the YEIDA. Application simultaneously declaring the unit functional and grant of renting permission may, however, be entertained.
- b) Request for renting out part/full premises shall be entertained. For the purpose of part renting the applicant has to clearly demarcate the portion of the building to be given on rent. He has also to file an Affidavit specifying the position of capital subsidy or any other subsidy, having been received or not and the premises having been mortgaged or not.
- c) Renting permission is granted if:

- i) Up-to-date dues of YEIDA have been cleared.
- ii) Lease deed/Transfer deed/Sale deed as applicable has been executed and registered and certified copy is duly deposited with the office.
- iii) NOC's of the term lending Institution (s), in case the industrial premises is mortgaged/ offered as collateral security.
 - iv) Bonafide lessee while making the request for renting would append a copy of the Project Report of the proposed project of the tenant. Projects free from pollution & environmental hazards shall be considered. The projects shall not be on the banned list of Directorate of Industries, UP or Development Commissioner, Small Scale Industries and YEIDA.
- d) In case of discontinuation of tenancy the same would be taken on record after the lessee surrenders the original renting permission letter.
- e) In case of re-renting, the renting be deposited again as stated here below.
- f) The condition of functionality and renting charges may be waived off in case the renting permission is sought for a Group/Associate/ Sister/ subsidiary concern in which allottee/lessee or their shareholders have jointly and/or severally minimum 51% shares.
- g) YEIDA would not entertain any direct correspondence with the tenant at any stage. However in case tenant wants to apply for electricity connection in his own name he will have to produce NOC in form of affidavit from the lessee/transferee.
- h) The renting charges will be calculated on the basis of following:**
 - i. Rs.100/-perSqm. For the entire area of the plot for ten years for the first tenant.
 - ii. For 2nd, 3rd, and so on so forth for subsequent tenants renting charges will be enhanced @20% of prevailing renting charges.
 - iii. The subsequent tenants will pay enhanced Renting charges@ 20% on prevailing renting charges for the residual period beginning from the start of first tenancy (for accounting purpose period will be counted from 1st April to 31st March of the year i.e. financial year).
- i) For all industrial allottees no. of tenants permitted would be one for every 500 Sqm. Plot area and charges for renting would be as per clause no. 'h' above.
 - j) If any tenant leaves tenancy before 10 years then for balance remaining period, permission can be granted for new tenant on payment of 20% of prevailing rate of renting charges for the entire plot area and subject to fulfillment of other terms and conditions.
 - k) Each tenant and allottee will ensure compliance of all statutory rules and regulations of the various Departments of both Central and State Government (e.g. Factory, Labour, Electricity, Fire, Building Construction, Directorate of Industries, Pollution Control Board, Employees State Insurance Corporation, Provident Fund etc.).
 - l) The rent permission can be granted for the period of 5 years also, the renting charges would be 50% of the charges mentioned in clause-'h' above.
 - m) The functional unit(s), after getting permission from the Authority to rent out the industrial premises in part/full will get a rent deed executed within 90 days compulsorily from the issuance of the renting permission and deposit the rent deed with YEIDA.

4 Annexures

4.1 Application form

YAMUNA EXPRESSWAY INDUSTRIAL DEVELOPMENT AUTHORITY

First Floor, Commercial Complex, Block – P-2, Sector-Omega-I,

Greater Noida – 201308

District – Gautam Budh Nagar (UP)

Ph: 0120-2395152 / 57, **Fax:** 0120-2395150

Website: www.yamunaexpresswayauthority.com

APPLICATION FORM FOR ALLOTMENT OF LEFTOUT INDUSTRIAL PLOTS

(Upto 4000 Sqm & Above 4000 sqm)

FOR

1. TOY PARK WITH STARTUP- (Sector- 33)
2. HANDICRAFT - ODOP PARK WITH STARTUP INCLUDING ARTISANS
(NATIONAL/ STATEAWARDEES ONLY)- (Sector-29)
3. GENERAL INDUSTRY/ MSMEs WITH STARTUP- (Sector-32 & 33)

SCHEME CODE:- YEA/IND-LOP(2021)-10

Self-attested
photograph of
authorized signatory

Categories:- Please tick only one block (✓) Compulsory Area.

1. TOY PARK 2. HANDICRAFT-ODOP PARK 3. GENERAL INDUSTRY/ MSMEs
STARTUP STARTUP STARTUP

Plot Size (In Sq. Meters) _____

PAN No. _____

Aadhaar No. _____

GST No. _____

Startup Certificate No. _____

Name of Proprietorship/ Partnership Firm/ Pvt. Ltd. Co//Ltd. Company etc. _____

Name of Applicant _____

Name _____ of

Father/Husband _____

Name of Authorized Person _____

Registered office _____

Phone No/Mob No. _____ Fax No. _____

Permanent

Address _____

Phone No/Mob No _____ Fax No. _____

E-mail _____

Processing fee Rs. 15000/- & applicable GST(upto 4000 sqm.) and Rs. 25,000/- & applicable GST (Above 4000 sqm.)

Registration fee Rs..... (10% of total premium of the plot)

Payable through e-banking/RTGS/NEFT

Summary of Proposed Project :-

It is compulsory to mention below the details of proposed project—

1	Applied Area (in sq. mtrs)	
2	Name of proposed project/product	
3	Total cost of project (in lacs)	
4	Funding from own sources	
5	Funding from other sources	
6	Total employment	
7	Load of power required	
8	Details of income tax returns Submitted /filed in previous three years Year – 2018-2019 Year – 2019-2020 Year – 2020-2021	

1. The detailed project report of the proposed project shall be uploaded with following points also:-

- i) Details of the Manufacturing Process with Flow Sheet indicating all major and minor operations and processes (Attach separate sheet, if necessary)
- ii) Give details about the composition and quantum of solid, liquid and gaseous effluents that are likely to emanate during production process. (Attach separate sheet, if necessary)

2. Project Implementation Schedule

3. Details of Plant & Machinery:

S.No.	Particulars	Value in Rs.
Attach separate sheet, if required		

4. Requirement of Raw Material(s) and Consumable(s)

S.No	Item	Annual Requirement	Annual Value (Rs.)
Attach separate sheet, if required			Total Rs.

5. Utilities:

Power		KW
Water Normal use		Kl/month
Process use		Kl/month

DECLARATION

I/We _____ s/o,w/o,d/o _____
_____ am/are fully competent to sign this application form on behalf of the Promoter(s)/Director(s)/ Partner(s)/Proprietor of the above unit/proposed unit.

1. All the information/details provided in this application form are true to the best of my/our knowledge and belief.
2. That I/We have read and understood the enclosed terms and conditions of registration/ allotment and agree to abide by the same.
3. In case of refund of Registration Money, the same may be made in the same bank account from which the payment have been initiated through Net-banking/RTGS/NEFT.
(Please enclose cancelled cheque of the same account for refund.)
4. I have uploaded all the required documents mentioned in the section-1.5 of this brochure.

Place:

Signature of the applicant (s)

Date:

4.2 Financial statement of turnover

a. Upto 4000 sq. mtrs.

Name of Applicant -----

S.No.	Description	Year 3 (2020-21) (as per audited balance sheet)	Year 2 (2019-20) (as per audited balance sheet)	Year 1 (2018-19) (as per audited balance sheet)
1.	Turnover of the Applicant as per audited annual accounts.			

Signature of Authorised signatory/applicant with name And Status

Above 4000 sq. mtrs.

Name of Applicant -----

S.No.	Description	Year 3 (2020-21) (as per audited balance sheet)	Year 2 (2019-20) (as per audited balance sheet)	Year 1 (2018-19) (as per audited balance sheet)
1.	Turnover of the Applicant as per audited annual accounts.			

Signature & Seal of Statutory
Auditors / Chartered Accountant

Membership No. _____

Signature of Authorised
signatory/applicant with name
And Status

Certificate of the Chartered Accountant/Statutory Auditor

Based on Audited Accounts and other relevant documents, we M/s
.....
....., Chartered Accountants/Statutory Auditors, certify that the above
information is correct.

Signature & Seal of Statutory

Auditors / Chartered Accountant

Membership No. _____

4.3 (a) Financial statement of Net Worth

a. upto 4000 sq. mtrs.

S.No.	Description	Amount in crore Rupees	Remarks
1.	Net worth as on 31.3.2021 of the Applicant as per audited annual accounts.		

Signature of Authorized signatory/applicant with name And Status.

b. above 4000 sq. mtrs.

S.No.	Description	Amount in crore Rupees	Remarks
1.	Net worth as on 31.3.2021 of the Applicant as per audited annual accounts.		

Signature & Seal of Statutory

Auditors / Chartered Accountant

Membership No. _____

Signature of Authorised

signatory/applicant with name

And Status

Certificate of the Chartered Accountant/Statutory Auditor

Based on Audited Accounts and other relevant documents, we M/s....., Chartered Accountants/Statutory Auditors, for the applicant having Net Worth upto 31-03-2021 is Rs certify that the above information is correct.

Signature & Seal of Statutory Auditors / Chartered Accountant

Membership No. _____

4.3(b) Financial statement of GST

i. upto 4000 smq.

S.No.	Description	Amount in crore Rupees	Remarks
1.	GST as on 31.3.2021		Detail of GST return for the year 2020-21

Signature of Authorized signatory/applicant with name And Status.

ii. Above 4000 smq.

S.No.	Description	Amount in crore Rupees	Remarks
1.	GST as on 31.3.2021		Detail of GST return for the year 2020-21

Signature & Seal of Statutory
Auditors / Chartered Accountant

Membership No. _____

Signature of Authorised
signatory/applicant with name

And Status

Certificate of the Chartered Accountant/Statutory Auditor

Based on Audited Accounts and other relevant documents, we M/s....., Chartered Accountants/Statutory Auditors, for the applicant having GST upto 31-03-2021 is Rscertify that the above information is correct.

Signature & Seal of Statutory Auditors / Chartered Accountant

Membership No. _____

4.4 Liquidity Certificate.

This is to certify that M/s.....maintaining Current Account/saving Bank Account / FDR / Other Deposit Account Nos..... with us, having liquidity of Rs.as on

Name of the Bank Officer with designation
(with rubber stamp)

Note:-

1. Separate certificate for each company / firm / society / trust / individual to be submitted.

Liquidity Certificate should not be more than 6 months old from the date of submission of application.

4.5 Annexure-(A)

(To be furnished on non-judicial stamp paper of Rs.100/- duly attested by notary public, by the sole Applicant or by Each Member/ partner/share-holder(s)).

Affidavit

I _____ s/o _____ Aged _____ years _____
proprietor/owner / director/partner/ authorized signatory of _____
r/o _____ do hereby solemnly affirm and state as under:

That I am the proprietor/owner/director/ partner/ authorized signatory
of _____, and competent to swear and submit the following:

- 1) That the Unit product does not fall under polluting categories.
- 2) That the Company /Firm will get NOC/Consent from U.P. Pollution Control Board, Udyog Aadhar Memorandum/Letter of Comfort and other clearances from concerned department of U.P. Govt.
- 3) That the deponent has read and understood the terms & conditions given in the brochure. It is mandatory to submit the above documents to the Authority before the execution of the Lease Deed and if the Deponent fails to submit the above documents, he alone would be responsible for the consequences resulting there from.
- 4) That the Deponent also understands the consequences that non-submission of the above documents may also lead to cancellation of his candidature/ allotment of the land for which he has applied.
- 5) The deponent declares that no unauthorized construction shall be made on the allotted plot.
- 6) If the Deponent fails to make unit functional within 48 months from the due date of lease deed execution, the Authority will be free to cancel the allotted plot/lease deed and may resume possession of the plot.
- 7) That the Deponent assures and declare that in case of violation of any terms and conditions or any directions issued by the Authority , the CEO will be free to take any decision as it deem fit and appropriate.

8) The Deponent declares that I am not defaulter against any other property allotted to me in YEIDA. If it is found false at any stage it may lead to cancellation of my candidature or allotment of the plot.

9) That the information given in the application is true and correct and if any part of it is found to be false or concealed, the Authority will have right to cancel the plot irrespective to the deposit of money till that stage when the malafide is revealed.

Deponent

I _____, the Deponent swear and declare that para 1 to 9 of the above affidavit are true and correct to my best of knowledge and no part of it is false or concealed.

Deponent

Place :

Date:

4.6 Annexure B

(Use separate sheet for each Promoter / Director / Partner/ Proprietor)

1. Name of Promoter/Director/Partner/Proprietor _____
2. Father's /Husband's Name _____ Age _____
3. Address: Office _____

Residence _____

Phone No(s)/Mob No: _____ Fax No(s) _____
E-mail _____
PAN number and Aadhaar number _____
4. Work Experience _____ Years

Verification

The particulars given above pertain to me and are true to the best of my knowledge and belief. I and / or any of my units stated above are not defaulters of any financial institution(s) /banks(s)/Noida /Greater Noida.

Date: Signatory must be the promoter/ Director / Partner/Proprietor

Place: to whom the above details pertain.

NOTE:

1. No correspondence shall be made with applicants, whose application(s) are rejected /unsuccessful. However, their registration money would be refunded by Authority through e-banking/RTGS/NEFT without any interest if the period of deposit of such money with YEIDA is less than 1 year. However, if the period of deposit is more than 1-year simple interest at SBI saving bank's interest rate per annum shall be paid for the period of deposit extending 1(one) year.
2. In case of any conflict/dispute the decision of the Chief Executive Officer, Yamuna Expressway Industrial Development Authority shall be final and binding on the applicant(s).

4.7 Annexure C

The following is the list of industry which may be permitted in industrial area developed by Yamuna Expressway Industrial Development Authority

4.7.1 Indicative List of MSME

S.No.	Name of the Project
--------------	----------------------------

- | | |
|-----|---|
| 1. | Agarbatti and Similar Products |
| 2. | Agriculture appliances and implements |
| 3. | Agro and food processing industry |
| 4. | Air conditioner(s) & its parts |
| 5. | Aluminium doors/windows/fittings/furniture |
| 6. | Aluminium-wares, moulds of cakes and pastry |
| 7. | Assembly and repair of cycles |
| 8. | Assembly and repairs of electrical gadgets/goods |
| 9. | Assembly and repair of sewing machines |
| 10. | Atta chakki and spices and dal grinding |
| 11. | Attache, Suitcases Brief cases & bags |
| 12. | Auto Parts (Plastic and Metals) |
| 13. | Auto Mobile service/repair Workshop only on plot area of 400 sq. mtr. & above |
| 14. | Batik works |
| 15. | Battery charging and Battery Manufacturing/Assembling |
| 16. | Belts and buckles |
| 17. | Biscuit, pappy, cakes, & cookies making |
| 18. | Block making and photo enlarging |
| 19. | Brass fitting |
| 20. | Bread & Bakeries |
| 21. | Brushes & Brooms |
| 22. | Buckets |
| 23. | Builder hardware |
| 24. | Bulbs (battery) |
| 25. | Buttons clips & hooks |
| 26. | Button making, fixing of buttons & hooks |
| 27. | Calico and Textile products |
| 28. | Candies, Sweets, Rasmalai etc. |
| 29. | Candles |
| 30. | Cane and Bamboo products |
| 31. | Canvas Bags & Hold-all makings |
| 32. | Cardboard Boxes |

33. Carpentry
34. Terrazzo tiles, paving, jallies of Cement
35. Assembly of Centrifugal pumps & small turbines
36. Citrus fruit concentrate
37. Clay modeling
38. Cold storage & refrigeration
39. Collapsible gates railing & grill
40. Conduit pipes
41. Confectionery candies and sweet
42. Copper and brass Art wares
43. Copper Metal parts
44. Copper-ware and utensils
45. Cordage, rope and twine making
46. Cotton and silkscreen printing
47. Cotton ginning
48. Cotton/silk Printing (By Hand)
49. Crayons
50. Cutlery
51. Cycle chain
52. Cycle locks
53. Dal milling
54. Data Processing Centers
55. Decorative goods
56. Dehydrated vegetables
57. Diamond cutting and polishing work
58. Dies for plastic moldings
59. Door shutters and windows
60. Pharma products (Permissible under Drugs and Cosmetics Act)
61. Dyeing, bleaching, finishing processing cloth (including mercerizing, calendaring, glazing etc. only in garments clusters)
62. Elastic products.
63. Electric fans
64. Electric fittings (switch, plug, pin etc.)
65. Electric lamp shades, fixtures
66. Electric Motor and parts
67. Electric Press assembling
68. Electric appliances (room heaters, lamps etc.) and other electrical goods
69. Electrical motors, transformers and generators
70. Electronic goods and ESDM
71. Embroidery
72. Enamel ware
73. Engineering works
74. Expanded metals

75. Fabrication (like trusses and frames)
76. Fire fighting equipments
77. Flour mills
78. Fluorescent lights & fittings (including neon signs)
79. Fountain pen, Ball pen and felt pens
80. Footwear
81. Framing of pictures and mirrors
82. Fruit canning
83. Glass work (assembly type)
84. Gold and Silver Thread Kalabattu
85. Grading, waxing and polishing of fruits
86. Only Blending/Repacking of Grease & Oils
87. Healthcare equipments and products (Permissible under Drugs and Cosmetics Act)
88. Helmets
89. Hats, caps turbans including embroideries
90. Hinges and Hardware
91. House hold/kitchen appliances
92. Hydraulic Press
93. Ice boxes and body of the coolers
94. Labels/ Stickers
95. Ice-Cream
96. Industrial fasteners
97. Ink making for fountain pens
98. Interlocking & buttoning
99. Ivory Carving
100. Jewellery items
101. Juicer (only assembly)
102. Jute products
103. Key rings
104. Khadi and Handlooms Products
105. Knife making
106. Laboratory porcelain, dental porcelain work
107. Kulfi and confectionery
108. Lace work and like
109. Lamps and burners
110. Lantern. Torches and flash lights
111. Lathe machines
112. Laundry & dry-cleaning
113. Leather and raxine made ups.
114. Leather footwear
115. Leather Upholstery and other leather goods
116. Locks
117. Manufacturing of trunks and metal Boxes

118. Marble stone items
119. Metal containers
120. Metal letter cutting
121. Metal polishing
122. Milk creams separators and mixers
123. Milk testing equipments
124. Milling of pulses
125. Miscellaneous machines parts
126. Motor winding works
127. Musical instruments (including repairs)
128. Name plate making
129. Nuts/Bolts/Pulleys/Chains and gears Oil Stoves, Pressure Lamps and Accessories
130. Optical instruments
131. Ornamental leather goods like purses, handbags
132. P.V.C. Compound
133. P.V.C. Products
134. Padlock and pressed locks
135. Formulation only of paints & Thinners
136. Pan Masala
137. Paper products
138. Paper cutting machine
139. Paper making machine
140. Paper stationery items and book binding
141. Totally mechanized and automatic unit for pasteurized milk and its products
142. Perfumery and cosmetics
143. Photo Type Setting
144. Photographs, Printing (including signboard painting)
145. Photostat and cyclostyling
146. Pickles, Chutneys and Murabba
147. Pith hat, garlands of flowers and pith
148. Plastic products
149. Polish work
150. Polishing of plastic parts
151. Polythene bags
152. Precision instruments of all kinds
153. Preparation of Vadi & Papad etc.
154. Pressure cookers
155. Printing, book binding embossing and photographs etc.
156. Processed fruit and vegetables products
157. Processing of condiments, spices, groundnuts and dal etc.
158. Rakhee making
159. Rail coupling parts

160. Readymade Garments
161. Repairs of small domestic appliances and gadgets (like room heater, room coolers, hot plates, lamps etc.)
162. Repair of watches and clocks
163. Rings and eyelets
164. Rolling shutters
165. Rubber products from mixed compound
166. Rubber stamps
167. Safety pins
168. Sanitary goods machining & fittings
169. Saree fall making
170. Scissors making
171. Screen printing
172. Screw & nails
173. Hardware & Peripherals of Computer
174. Sheet metal works
175. Shoe making and repairing
176. Shoe laces
177. Silver foil making
178. Small electronic components
179. Small Machine & Machine tools
180. Spectacles optical frames
181. Spice grinding
182. Speedometers
183. Sports goods
184. Sprayers (hand and foot)
185. Stamp pads
186. Stapler pins
187. Stationery items (including educational and school drawing instruments)
188. Steel Almirahs
189. Steel Furniture's
190. Steel Lockers
191. Steel wire drawings
192. Steel wire products
193. Stone engraving
194. Stove pipe, safety pins and aluminum buttons (by hand press)
195. Structural steel fabrications
196. Surgical bandage rolling and cutting
197. Surgical goods
198. Surgical instruments and equipments
199. T.V. Radio cassette, recorders etc.
200. T.V./ Radio/transistor cabinets and Assembling
201. Table lamps and shades

202. Tailoring
203. Tomato ketchup & vegetable sauce
204. Containers lids
205. Tarpaulin & Tents including repairs (no processing & weaving)
206. Telephone and its parts
207. Thermometers
208. Thread balls and cotton fillings
209. Tin box making
210. Tractor parts
211. Transformer covers
212. Typewriter parts manufacturing and assembling
213. Tyre retreading with cold process only
214. Umbrella assembly
215. Upholstery springs and other springs (no heat treatment)
216. Utensils
217. Assembly of vacuum flasks
218. Velvet embroidered shoes/shawls
219. Veneer of plywood
220. Vermicelli and macaroni
221. Vinegar and juice
222. Watches and clocks parts
223. Water meters
224. Water meters repairing
225. Water Tanks
226. Wax polishing
227. Weaning food
228. Welding works
229. Wire drawing coating and electric cable
230. Wire knitting
231. Wire netting
232. Wood carving and decorative wood wares
233. Wooden/cardboard jewellery boxes
234. Wool balling and lachee making
235. Wool knitting (with machine)
236. Writing and marking ink
237. X-ray machines
238. Zari Zardozi
239. Telecommunication equipment's
240. Textile

4.7.2 Indicative List for HANDICRAFT

S.No. Name of the Project

1. Bamboo & Cane Craft
2. Carpets/Durries
3. Chikankari
4. Embroidered goods & Shawls
5. Hand printed textiles
6. Imitation jewellery
7. Jamdani Weave
8. Jute products
9. Jaliwork
10. Pottery
11. Leather Products
12. Marble work
13. Miniature Painting
14. Maslond
15. Metal ware
16. Paintings & Earthenware
17. Rumals & Coverlets
18. Silk Weaving
19. Shawles & Pattus
20. Terracotta Artware
21. Tie & Die
22. Wood ware
23. Zari goods
24. Jute products

4.7.3 TOY PARK

All types of non-polluting Toys

4.7.4 Indicative List for ODOP

S.No.	Name of the Project	Name of District
1.	Zari Zardozi	Bareilly, Badaun, Shahjahanpur, Kasganj.
2.	Chikankari	Lucknow
3.	Silk embroidery/Saree	Varanasi
4.	Wall Hangings	Ghazipur
5.	Terracota	Gorakhpur
6.	Black Pottery/Bluepottery	Azamgarh, Bulandshahr
7.	Carpets & Durries	Mirzapur, Bhadohi, Sonbhadra, Jaunpur, Sitapur
8.	Wooden Crafts	Saharanpur, Raibareilly, Bijnor, Chitrakoot
9.	Metal/brass ware	Moradabad, Etah, Sant kabir Nagar

- | | | |
|-----|-----------------------|------------------|
| 10. | Musical instruments | Amroha, Pilibhit |
| 11. | Wheat Stalk Crafts | Baharaich |
| 12. | Banana fiber products | Kushi Nagar |
| 13. | Stone ware | Mahoba, Banda |

(As per ODOP-UP approved list)

Note: -

In addition of above if authority receives any new and unique type non-polluting proposals may consider for allotment in concerned category.

4.8 Annexure-D

Yamuna Expressway Industrial Development Authority
Objective Parameter for the evaluation of the project/Application form.
(Only for applicant's Above 4000 sqm.)

औद्योगिक भूमि ऑक्टन हेतु आब्जेक्टिव पैरामीटर

क्र० सं०	मद		प्राप्त अंक	निर्धारित अधिकतम अंक
1	वित्तीय क्षमता स्ववित्त पोषित/ ऋण	1.1 स्ववित्त पोषित 60 प्रतिशत से अधिक होने पर	15	15
		1.2 स्ववित्त पोषित एवं बैंक ऋण का प्रतिशत 1. 40/60 2. 30/70	10	
			08	
2	प्रस्तावित निवेश-परियोजना में भवन निर्माण एवं मशीनरी/संयंत्र पर प्रस्तावित पूँजी निवेश, भूमि पर निवेश की तुलना में	2.1 भूमि पर निवेश के 2 गुणा तक	05	15
		2.2 भूमि पर निवेश के 3 गुणा तक	08	
		2.3 भूमि पर निवेश के 5 गुणा तक	10	
		2.4 भूमि पर निवेश के 5 गुणा से अधिक	15	
3	रोजगार सृजन स्थानीय व्यक्तियों को रोजगार कुल दिये गये रोजगार के प्रतिशत में	3.1 इकाई में कुल सृजित रोजगार में से 20 प्रतिशत से कम स्थानीय रोजगार होने पर।	0	05
		3.2 इकाई में कुल सृजित रोजगार में से 20 प्रतिशत से 30 प्रतिशत स्थानीय रोजगार होने पर।	02	
		3.3 इकाई में कुल सृजित रोजगार में से 30 प्रतिशत से 40 प्रतिशत स्थानीय रोजगार होने पर।	03	
		3.4 इकाई में कुल सृजित रोजगार में से 40 प्रतिशत से अधिक स्थानीय रोजगार होने पर।	05	
4	एफ०डी०आई०/100 प्रतिशत एक्सपोर्ट वाली इकाई	उद्योग निदेशालय एवं निर्यात संवर्धन परिषद से आवश्यक प्रमाण-पत्र उपलब्ध कराने पर	05	05
5	एक ही औद्योगिक क्षेत्र में इकाई द्वारा अतिरिक्त भूमि की अथवा परियोजना विस्तार हेतु/स्टार्ट-अप इकाई होने पर	उक्त मद में अर्ह होने पर अंक दिये जायेंगे अन्यथा शून्य अंक दिया जायेगा	10	10

06	महिला उद्यमी/अनुसूचित जाति/जनजाति/विकलांग उद्यमी। (आवेदक कम्पनी/साझेदारी फर्म में इस श्रेणी के उद्यमियों की कम से कम 26 प्रतिशत अंशधारिता होनी चाहिए)	उक्त मद में अर्ह होने पर अंक दिये जायेंगे अन्यथा शून्य अंक दिया जायेगा।	05	05
07	नेटवर्थ/लिव्कीडिटी एवं विगत 03 वर्षों की आडिटेड बैलेन्स शीट एवं कैशपलो	7.1 उर्ध्वगामी, लाभ।	15	15
		7.2 अधोगामी, लाभ।	10	
		7.3 ब्रेक इवेन प्वाइन्ट के आस-पास।	08	
08	भुगतान पद्धति	आवंटित भूमि के 100 प्रतिशत भुगतान 90 दिन में करने पर उक्त मद में अर्ह होने पर अंक दिये जायेंगे अन्यथा शून्य अंक दिया जायेगा	15	15
09	परियोजना प्रस्तुतीकरण	समिति के समक्ष प्रोजेक्ट प्रस्तुतीकरण के आधार पर मूल्यांकन के सापेक्ष न्यूनतम 0 से अधिकतम 15 अंक	0-15	15

नोट:-

- स्थानीय रोजगार की परिभाषा में यमुना एक्सप्रेसवे औद्योगिक विकास प्राधिकरण हेतु अधिसूचित 06 जनपदों में सामान्यतः निवास करने वाले निवासी शामिल माने जायेंगे।
- उक्त के सम्बन्ध में किसी भी Violation की स्थिति में आवंटियों पर निर्धारित लीजरेन्ट के अलावा 01 प्रतिशत अतिरिक्त लीज रेन्ट/प्रतिवर्ष की Penalty लगाई जायेगी। एकमुश्त लीज रेन्ट का भुगतान कर चुके आवंटियों से 01 प्रतिशत अतिरिक्त लीज रेन्ट/प्रतिवर्ष की दर से वसूल की जायेगी।

4.9 Annexure- E

Yamuna Expressway Industrial Development Authority
INDEMNITY BOND (To be furnished on non-judicial stamp paper of Rs. 100/- duly attested
by notary public)

Indemnity Bond For Ensuring The Quality Of Development/Construction

This Indemnity Bond is executed on day of 2021 by Mr./Mrs. in favour of Yamuna Expressway Industrial Development Authority (A body constituted under section-3 of the Uttar Pradesh Industrial area development Act 1976). Here in after referred to as Authority show as under

The Allottee/Lessee shall be wholly and solely responsible for the implementation of the Project and also for ensuring the quality of development/construction, subsequent operations and maintenance of facilities and services, till such time that an alternate agency for such work is identified and legally appointed by the Allottee/Lessee. The Allottee/Lessee is executing this indemnity bond, indemnifying YEIDA against all disputes arising out of:-

- i. The non-completion of work.
- ii. The quality and validity of development, construction, operations and maintenance.
- iii. Any legal dispute arising out of allotment, lease and/or sub-lease to the final purchaser.

Now therefore this indemnity Bond is executed and I the above named allottee/lessee hereby agree to indemnify the authority against all claims, losses of damages or claims which may be preferred by any other person on the basis of any document executed by me.

I, therefore, execute this Indemnity Bond in favour of the Authority in presence of the following:-

1. Witness

2. EXECUTANT